

## 6. THE EXPONENTIAL MAP ON $GL(n, \mathbb{C})$

### 1. HILBERT-SCHMIDT NORM

1.1. **Definition.**  $gl(n, \mathbb{C})$  the complex vector space of  $n \times n$  matrices with complex entries. The Hilbert-Schmidt inner product on this space is given by

$$\langle A, B \rangle = \text{trace}(B^* A) = \sum_{i=1}^n \sum_{j=1}^n a_{ij} \bar{b}_{ij}$$

The associated norm is  $\|A\| = \text{trace}(A^* A)^{1/2} = \left( \sum_{i=1}^n \sum_{j=1}^n |a_{ij}|^2 \right)^{1/2}$ .

1.2. **Definition.** A matrix  $A \in gl(n, \mathbb{C})$  is Hermitian if  $A^* = A$  or  $a_{ji} = \bar{a}_{ij}$ . A matrix  $A \in gl(n, \mathbb{C})$  is positive semi-definite (notation:  $A \geq 0$ ) iff  $\langle Ax, x \rangle = x^t A \bar{x} \geq 0, \forall x \in \mathbb{C}^n$ .

1.3. **Lemma.** If  $A = A^* \geq 0$  and  $B = B^* \geq 0$ , then  $\text{tr}(AB) \leq \text{tr}(A) \text{tr}(B)$ .

1.3.1. *Proof.* Let  $\{e_k\}$  an orthonormal eigenbasis for  $A$ ,  $Ae_k = \lambda_k e_k, 1 \leq k \leq n$ . Then

$$\text{tr}(AB) = \text{tr}(BA) = \sum_k \langle BAe_k, e_k \rangle = \sum_k \lambda_k \langle Be_k, e_k \rangle \leq \left( \sum_k \lambda_k \right) \left( \sum_k \langle Be_k, e_k \rangle \right)$$

by positivity.

1.4. **Proposition.** For  $A, B \in gl(n, \mathbb{C})$ ,  $\|AB\| \leq \|A\| \cdot \|B\|$ .

1.4.1. *Proof.*  $\|AB\|^2 = \text{tr}(B^* A^* AB) = \text{tr}(A^* A B B^*) \leq \text{tr}(A^* A) \text{tr}(B B^*)$  by the lemma.

### 2. EXPONENTIAL MAP

2.1. **Theorem.** For  $X \in gl(n, \mathbb{C})$ , the series  $\sum_{k=0}^{\infty} \frac{1}{k!} X^k$  converges uniformly on compact subsets of  $gl(n, \mathbb{C})$ .

2.1.1. *Proof.* The series of absolute values is  $\sum_k \|X^k\|/k! \leq \sum_k \|X\|^k/k! = e^{\|X\|}$ .

2.2. **Definition.** We denote  $\exp(X) = e^X = \sum_{k=0}^{\infty} \frac{1}{k!} X^k$ .

2.3. **Properties of the exponential map.**

2.3.1.  $\exp(sX) \exp(tX) = \exp((s+t)X), \forall X \in gl(n, \mathbb{C})$  and  $s, t \in \mathbb{R}$ .

This follows from interchanging the order of summation, allowed for absolutely convergent series.

2.3.2.  $\exp(X) \in GL(n, \mathbb{C}), \exp(X)^{-1} = \exp(-X)$ .

2.3.3.  $\det(\exp X) = e^{\text{trace } X}$ .

This follows by writing the matrix  $X$  in the Jordan canonical form.

2.3.4.  $\exp(gXg^{-1}) = g \exp(X) g^{-1}$ , for  $g \in GL(n, \mathbb{C})$  and  $X \in gl(n, \mathbb{C})$ .

This follows from  $(gXg^{-1})^n = gX^n g^{-1}$ .

2.4. For  $\|X\| < 1$ , the series  $\log(1+X) = \sum_{n=1}^{\infty} \frac{(-1)^{n+1}}{n} X^n$  converges absolutely and  $\exp(\log(1+X)) = 1+X$ . Thus  $\exp^{-1} g = \log(g)$  for  $\|g-1\| < 1$ . In particular, we have a diffeomorphism

$$\exp : U \simeq V$$

where  $0 \in U \subset gl(n, \mathbb{C})$  is a small open neighborhood of 0 in  $gl(n, \mathbb{C})$  and  $e \in V \subset GL(n, \mathbb{C})$  is a small open neighborhood of  $e$  in  $GL(n, \mathbb{C})$ .

2.5. **Charts.** The exponential map provides natural charts for  $GL(n, \mathbb{C})$ . For an arbitrary  $g \in G$ , the set  $V_g := gV$  is an open neighborhood of  $g$  and

$$V_g \xrightarrow{g^{-1}} V \xrightarrow{\log} U$$

gives a chart near  $g$ . In other words,  $V_g := \{g \exp(X) : X \in U\}$  is a neighborhood of  $g \in G$ , parametrized by an open set of the origin  $U \subset \mathbb{C}^{n^2}$ .

### 3. CAMPBELL-HAUSDORFF

3.1. **Definition.** For  $\|X\|, \|Y\| < \frac{1}{10}$  (small) we define  $M(X, Y) := \exp^{-1}(\exp(X)\exp(Y))$ . defined for  $X$  and  $Y$  small ( $\|X\|, \|Y\| < \frac{1}{10}$ ). Clearly  $M(X, 0) = X$  and  $M(0, Y) = Y$ .

3.2. **Theorem.**  $\frac{\partial^2}{\partial s \partial t} M(sX, tY)|_{s=t=0} = \frac{1}{2}[X, Y]$ .

3.3. **Taylor Expansion.** This means:  $M(X, Y) = X + Y + \frac{1}{2}[X, Y] + [\text{higher order terms}]$  or more specifically

$$M(sX, tY) = sX + tY + \frac{st}{2}[X, Y] + o(s^2 + t^2), \quad \text{as } (s, t) \rightarrow (0, 0)$$

### 4. ONE PARAMETER SUBGROUPS

4.1. **Definition.** The map  $\gamma_X : \mathbb{R} \rightarrow GL(n, \mathbb{C})$  defined by  $\gamma_X(t) := \exp(tX)$  has the following properties:

$$\gamma_X(t) \text{ is continuous in } t; \quad \gamma_X(s+t) = \gamma_X(s)\gamma_X(t), \quad \forall s, t \in \mathbb{R}$$

We call such a map a one-parameter subgroup of  $GL(n, \mathbb{C})$ . We also say that  $X$  is the generator of the one-parameters subgroup  $X$ .

4.2. **Theorem.** All one-parameter subgroups are of this form [homework].

4.3. We recover the generator  $X$  from the one-parameter subgroup  $\gamma(t)$  by

$$X = \frac{d}{dt} \gamma(t)|_{t=0}$$