

SOLUTIONS TO MATH 201 MIDTERM I FALL 07, ZUCKER

1. a) The  $\mathcal{B}$ -matrix for  $T$  is the matrix  $B$  of the linear transformation  $T$  expressed in the  $\mathcal{B}$  coordinates  $[T(\mathbf{x})]_{\mathcal{B}} = B [\mathbf{x}]_{\mathcal{B}}$ , where  $[\mathbf{x}]_{\mathcal{B}} = \begin{bmatrix} c_1 \\ c_2 \\ c_3 \end{bmatrix}$  are the coordinates of  $\mathbf{x} = c_1 \mathbf{b}_1 + c_2 \mathbf{b}_2 + c_3 \mathbf{b}_3$  expressed in the basis  $\mathcal{B} = \{\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3\}$ . To find  $B = \begin{bmatrix} | & | & | \\ [T(\mathbf{b}_1)]_{\mathcal{B}} & [T(\mathbf{b}_2)]_{\mathcal{B}} & [T(\mathbf{b}_3)]_{\mathcal{B}} \\ | & | & | \end{bmatrix}$  we calculate  $T(\mathbf{b}_i)$  and express them in the  $\mathcal{B}$  coordinates:

$$T \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad \text{i.e.} \quad \left[ T \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \right) \right]_{\mathcal{B}} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}$$

$$T \left( \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \quad \text{i.e.} \quad \left[ T \left( \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right) \right]_{\mathcal{B}} = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$$

$$T \left( \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \right) = \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 2 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 2 \\ 2 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + 2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \quad \text{i.e.} \quad \left[ T \left( \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \right) \right]_{\mathcal{B}} = \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix}$$

Hence  $B = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 2 \end{bmatrix}$ .

b) By linearity  $T \left( \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) = T \left( 2 \begin{bmatrix} 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right) = 2T \left( \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right) - T \left( \begin{bmatrix} 1 \\ 2 \end{bmatrix} \right) = 2 \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix} = \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}$

2. a) We apply Gauss-Jordan elimination to the augmented matrix for the system:

$$\begin{bmatrix} 2 & 1 & 1 & -2 & 3 \\ 1 & 1 & 0 & 1 & 2 \\ 1 & 2 & -1 & 4 & 5 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 1 & 0 & 1 & 2 \\ 2 & 1 & 1 & -2 & 3 \\ 1 & 2 & -1 & 5 & 4 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 1 & 0 & 1 & 2 \\ 0 & -1 & 1 & -4 & -1 \\ 0 & 1 & -1 & 4 & 2 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 1 & 0 & 1 & 2 \\ 0 & 1 & -1 & 4 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 0 & 1 & -3 & 1 \\ 0 & 1 & -1 & 4 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

Since the last equation in the inhomogeneous case would say  $0 = 1$  it is inconsistent.

The reduction however gives the  $rref(A)$  as the 4 first columns of this matrix.

b) Moreover the same calculation in the inhomogeneous case  $A\mathbf{x} = \mathbf{0}$  gives that  $\mathbf{x}$  is in the kernel of  $A$  if  $x_1 + x_3 - 3x_4 = 0$  and  $x_2 - x_3 + 4x_4 = 0$  where  $x_3$  and  $x_4$  are free so we obtain

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} -x_3 + 3x_4 \\ x_3 + 4x_4 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} -1 \\ 1 \\ 1 \\ 0 \end{bmatrix} x_3 + \begin{bmatrix} 3 \\ -4 \\ 0 \\ 1 \end{bmatrix} x_4 \text{ so the vectors } \begin{bmatrix} -1 \\ 1 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 3 \\ -4 \\ 0 \\ 1 \end{bmatrix} \text{ form a basis for the kernel.}$$

c) The dimension of the image of  $A$  can be obtain from the fundamental theorem of algebra:

$$\dim(\text{im } A) + \dim(\text{ker } A) = \dim(\text{domain } A)$$

once we know that the dimension of the kernel of  $A$  is 2 and the dimension of the domain of  $A$  i.e.  $\mathbb{R}^4$  is 4 so dimension of the image of  $A$  is 2. Alternatively, the columns of  $A$  corresponding to columns of  $rref(A)$  with a leading one form a basis for the image of  $A$ . Hence the first two columns of  $A$  form a basis for the image of  $A$  so it has dimension 2.

3 a) That  $\mathbf{u}, \mathbf{v} \in Y$  implies that  $\mathbf{u} + \mathbf{v} \in Y$  and  $\lambda\mathbf{u} \in Y$ .

b)  $\dim Y$  is the number of elements in a basis for  $Y$ .

c) If  $\mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix} \in Y$  and  $\mathbf{v} = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} \in Y$  then  $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \mathbf{u} + \mathbf{v} = \begin{bmatrix} u_1 + v_1 \\ u_2 + v_2 \\ u_3 + v_3 \\ u_4 + v_4 \end{bmatrix}$  satisfy

$$2x_1 + x_2 + x_3 - 2x_4 = 2(u_1 + v_1) + u_2 + v_2 + u_3 + v_3 - 2(u_4 + v_4)$$

$$= 2u_1 + u_2 + u_3 - 2u_4 + 2v_1 + v_2 + v_3 - 2v_4 = 0 + 0 = 0 \text{ so } \mathbf{x} = \mathbf{u} + \mathbf{v} \in Y.$$

One similarly proves that  $\lambda\mathbf{u} \in Y$ .

d) The *rref* of the system is  $x_1 + x_2/2 + x_3/2 - x_4 = 0$ . Hence  $x_2, x_3, x_4$  are free variables

and the solution set is  $\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} -1/2 \\ 1 \\ 0 \\ 0 \end{bmatrix} x_2 + \begin{bmatrix} -1/2 \\ 0 \\ 1 \\ 0 \end{bmatrix} x_3 + \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix} x_4$  so  $\begin{bmatrix} -1/2 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} -1/2 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix}$  form

a basis for  $Y$ . We claim that these 3 vectors,  $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3$  together with any vector that is not in  $Y$ , e.g.  $\mathbf{b}_4 = \mathbf{e}_4$  of the standard basis, form a basis for  $\mathbb{R}^4$ . To prove this it is sufficient to show that they are linearly independent since 4 vectors in  $\mathbb{R}^4$  form a basis if they are linearly independent. In fact if  $\lambda_1\mathbf{b}_1 + \lambda_2\mathbf{b}_2 + \lambda_3\mathbf{b}_3 + \lambda_4\mathbf{b}_4 = \mathbf{0}$  then if  $\lambda_4 \neq 0$  it follows that  $\mathbf{b}_4 = -(\lambda_1\mathbf{b}_1 + \lambda_2\mathbf{b}_2 + \lambda_3\mathbf{b}_3)/\lambda_4 \in Y$ , which is a contradiction so we must have that  $\lambda_4 = 0$ . However then we must have  $\lambda_1\mathbf{b}_1 + \lambda_2\mathbf{b}_2 + \lambda_3\mathbf{b}_3 = \mathbf{0}$ , but since  $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3$  are linearly independent it follows that also  $\lambda_1 = \lambda_2 = \lambda_3 = 0$  so  $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3, \mathbf{b}_4$  are linearly independent.

4.  $T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} \cos \theta \\ \sin \theta \end{bmatrix}$  and  $T\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} \sin \theta \\ \cos \theta \end{bmatrix}$  so  $A = \begin{bmatrix} \cos \theta & \sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}$ .

5. We need to determine the dimension of the image of  $A = \begin{bmatrix} 1 & 1 & 0 & 2 \\ 1 & 2 & 1 & 3 \\ 1 & 3 & 2 & 4 \\ 1 & 0 & -1 & 1 \end{bmatrix}$ .

Row reduction gives  $\begin{bmatrix} 1 & 1 & 0 & 2 \\ 1 & 2 & 1 & 3 \\ 1 & 3 & 2 & 4 \\ 1 & 0 & -1 & 1 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 1 & 0 & 2 \\ 0 & 1 & 1 & 1 \\ 0 & 2 & 2 & 2 \\ 0 & -1 & -1 & -1 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 1 & 0 & 2 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \Leftrightarrow \begin{bmatrix} 1 & 0 & -1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$ .

The columns of  $A$  corresponding to columns of  $\text{rref}(A)$  with a leading one form a basis for the image of  $A$ . Hence the first two columns of  $A$  form a basis for the image of  $A$  so it has dimension 2.

6. a) If  $A = B = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  then  $AB = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  and they all have rank 1.

The matrices  $A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$  and  $B = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$  both have rank 1 but  $AB = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$  has rank 0.

b) We have that  $\text{Im}(AB) \subset \text{Im}(A)$ . In fact if  $\mathbf{y} \in \text{Im}(AB)$  then  $\mathbf{y} = AB\mathbf{x}$  for some  $\mathbf{x}$  so then  $\mathbf{y} = A\mathbf{z}$ , where  $\mathbf{z} = B\mathbf{x}$  and so  $\mathbf{y} \in \text{Im}(A)$ . Since the rank is the dimension of the image, we must therefore have that  $\text{rank}(AB) \leq \text{rank}(A) \leq 1$ .

c) We have that  $\text{Ker}(B) \subset \text{Ker}(AB)$ . In fact if  $\mathbf{x} \in \text{Ker}(B)$  then  $B\mathbf{x} = \mathbf{0}$  so  $AB\mathbf{x} = \mathbf{0}$  so then  $\mathbf{x} \in \text{Ker}(AB)$ . With the nullity denoting the dimension of the kernel it follows that  $\text{nullity}(B) \leq \text{nullity}(AB)$ . Using the fundamental theorem of linear algebra:

$$\dim(\text{im } C) + \dim(\text{ker } C) = \dim(\text{domain } C)$$

applied to  $B$  gives that  $\text{rank}(B) + \text{nullity}(B) = 2$  and since by assumption  $\text{rank}(B) = 1$  it follows that  $\text{nullity}(B) = 1$  and therefore  $\text{nullity}(AB) \geq 1$  and applying the fundamental theorem of linear algebra to  $AB$  gives that  $\text{rank}(AB) + \text{nullity}(AB) = 2$  so  $\text{rank}(AB) \leq 1$ .

7. *False*:  $T$  could e.g. just be the zero transformation.