

LINEAR ALGEBRA (MATH 110.201)

MIDTERM II - 1 APRIL 2016

Name: \_\_\_\_\_

Section number/TA: \_\_\_\_\_

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**Instructions:**

- (1) Do not open this packet until instructed to do so.
  - (2) This midterm should be completed in **50 minutes**.
  - (3) Notes, the textbook, and digital devices are **not permitted**.
  - (4) Discussion or collaboration is **not permitted**.
  - (5) All solutions must be written on the pages of this booklet.
  - (6) Justify your answers, and write clearly; **points will be subtracted otherwise**.
  - (7) Once you submit your exam, you will not be allowed to modify it.
  - (8) By submitting this exam, you are agreeing to the above terms. Cheating or refusing to stop writing when time is called may result in an automatic failure.
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Exercise	Points	Your score
1	8	
2	12	
3	16	
4	12	
5	12	
6	12	
7	12	
8	16	
Total	100	

Exercise 1 (8 points). Give an example of a  $2 \times 2$  matrix  $A$  with  $\text{Im}(A) \neq \text{Im}(\text{RREF}(A))$ .

Solution:

$$A = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}, \quad \text{rref}(A) = \begin{bmatrix} 1 & 1 \\ 0 & 0 \end{bmatrix},$$

$$\text{im}(A) = \text{span}\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) \quad \text{while} \quad \text{im}(\text{rref}(A)) = \text{span}\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right).$$

obviously,  $\text{im}(A) \neq \text{im}(\text{rref}(A))$ .

**Exercise 2** (12 points). Suppose that  $B$  is any  $m \times n$  matrix having only  $\vec{0}_n$  in its kernel, and suppose that  $C$  is any  $n \times k$  matrix having only  $\vec{0}_k$  in its kernel. Under these assumptions, can you describe all vectors in  $\text{Ker}(BC)$ ?

**Solution:**

Set up the system  $BC\vec{x} = \vec{0}_m \Rightarrow B(C\vec{x}) = \vec{0}_m$ .

Since  $\text{ker}(B)$  consists of only  $\vec{0}_n$ , it follows

that  $C\vec{x} = \vec{0}_n$ . Since  $\text{ker}(C)$  consists of only  $\vec{0}_k$ , we must then have  $\vec{x} = \vec{0}_k$ .

So  $\text{ker}(BC) = \{ \vec{0}_k \}$ .

Exercise 3 (16 points). Consider the following matrix:

$$\begin{pmatrix} 1 & 2 & 3 & 1 & 2 & 3 \\ 2 & 3 & 4 & 2 & 3 & 4 \\ 3 & 4 & 5 & 3 & 4 & 5 \\ 4 & 5 & 6 & 4 & 5 & 6 \end{pmatrix}$$

- (1) (4 points) Compute RREF(A).
- (2) (6 points) Give a basis of Ker(A), and write down dim(Ker(A)).
- (3) (6 points) Give a basis of Im(A), and write down dim(Im(A)).

Solution:

$$(1) \begin{bmatrix} 1 & 2 & 3 & 1 & 2 & 3 \\ 2 & 3 & 4 & 2 & 3 & 4 \\ 3 & 4 & 5 & 3 & 4 & 5 \\ 4 & 5 & 6 & 4 & 5 & 6 \end{bmatrix} \begin{array}{l} -2I \\ -3I \\ -4I \end{array} \rightarrow \begin{bmatrix} 1 & 2 & 3 & 1 & 2 & 3 \\ 0 & -1 & -2 & 0 & -1 & -2 \\ 0 & -2 & -4 & 0 & -2 & -4 \\ 0 & -3 & -6 & 0 & -3 & -6 \end{bmatrix} \xrightarrow{\div (-1)} \rightarrow$$

$$\begin{bmatrix} 1 & 2 & 3 & 1 & 2 & 3 \\ 0 & 1 & 2 & 0 & 1 & 2 \\ 0 & -2 & -4 & 0 & -2 & -4 \\ 0 & -3 & -6 & 0 & -3 & -6 \end{bmatrix} \begin{array}{l} -2II \\ +2II \\ +3II \end{array} \rightarrow \begin{bmatrix} 1 & 0 & -1 & 1 & 0 & -1 \\ 0 & 1 & 2 & 0 & 1 & 2 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

The last matrix above is rref(A).

- (2) The solution of the system  $A\vec{x} = \vec{0}$  is

$$\begin{aligned} x_1 &= x_3 - x_4 + x_6 \\ x_2 &= -2x_3 - x_4 - 2x_6 \end{aligned} \quad \text{or} \quad \begin{bmatrix} s - t + v \\ -2s - u - 2v \\ s \\ t \\ u \\ v \end{bmatrix} =$$

$$s \begin{bmatrix} 1 \\ -2 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix} + t \begin{bmatrix} -1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix} + u \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix} + v \begin{bmatrix} 1 \\ -2 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$$

A basis of ker(A) is thus  $\begin{bmatrix} 1 \\ -2 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} -1 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ -2 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix};$

$$\dim \ker(A) = 4.$$

- (3) Pick the columns of A that correspond to columns of rref(A) containing leading 1's:

A basis of im(A) is  $\begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}, \begin{bmatrix} 2 \\ 3 \\ 4 \\ 5 \end{bmatrix}; \quad \dim \text{im}(A) = 2.$

Exercise 4 (12 points). If  $A$  is a nonzero  $2 \times 5$  matrix, can we have  $\dim(\text{Ker}(A)) = 1$ ?  
What are the possibilities for  $\dim(\text{Ker}(A))$ ?

Solution:

No, we can't have  $\dim \ker(A) = 1$ .

By the rank-nullity theorem,  $\text{rank}(A) + \dim \ker(A) = 5$ .

Since  $A$  has 2 rows,  $\text{rank}(A) \leq 2$ . It then follows

that  $\dim \ker(A) \geq 3$ . So we can't have  $\dim \ker(A) = 1$ .

By the above analysis, the possibilities for ~~the~~  $\dim \ker(A)$  are:

$$\dim \ker(A) = 3 \quad (\Leftrightarrow \text{rank}(A) = \dim \text{im}(A) = 2)$$

$$\text{e.g. } A = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{bmatrix};$$

$$\dim \ker(A) = 4 \quad (\Leftrightarrow \text{rank}(A) = \dim \text{im}(A) = 1)$$

$$\text{e.g. } A = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix};$$

$$\dim \ker(A) = 5 \quad (\Leftrightarrow \text{rank}(A) = \dim \text{im}(A) = 0)$$

$$A = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

Exercise 5 (12 points). Determine whether the following vectors are a basis of  $\mathbb{R}^4$  (justify your answer):

$$\begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 2 \\ 2 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 3 \\ 3 \end{bmatrix}, \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}$$

Solution:

Since  $\dim \mathbb{R}^4 = 4$  and we have 4 vectors in  $\mathbb{R}^4$ , to determine whether the vectors are a basis of  $\mathbb{R}^4$ , it suffices to determine whether they are linearly independent. So set up the system

$$c_1 \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} + c_2 \begin{bmatrix} 1 \\ 2 \\ 2 \\ 2 \end{bmatrix} + c_3 \begin{bmatrix} 1 \\ 2 \\ 3 \\ 3 \end{bmatrix} + c_4 \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix},$$

and solve it:

$$\begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & 2 & 2 & 2 \\ 1 & 2 & 3 & 3 \\ 1 & 2 & 3 & 4 \end{bmatrix} \begin{matrix} -I \\ -I \\ -I \\ -I \end{matrix} \rightarrow \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 1 & 2 & 2 \\ 0 & 1 & 2 & 3 \end{bmatrix} \begin{matrix} -II \\ -II \\ -II \\ -II \end{matrix} \rightarrow$$

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 2 \end{bmatrix} \begin{matrix} -III \\ -III \\ -III \\ -III \end{matrix} \rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{matrix} -IV \\ -IV \\ -IV \\ -IV \end{matrix} \rightarrow \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

The above system has a unique solution  $c_1 = 0$ ,  $c_2 = 0$ ,  $c_3 = 0$ ,  $c_4 = 0$ .

Therefore, the vectors are linearly independent, and hence form a basis of  $\mathbb{R}^4$ .

Exercise 6 (12 points). Let  $\mathcal{B}$  denote the basis  $\left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}$  of  $\mathbb{R}^2$ . Suppose that  $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  is a linear transformation whose matrix with respect to  $\mathcal{B}$  is  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . What is the matrix of  $T$  with respect to the standard basis of  $\mathbb{R}^2$ ? Express your answer in terms of  $a, b, c, d$ .

Solution:

That the matrix of  $T$  with respect to  $\mathcal{B}$  is  $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$  means

$$T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = a \begin{bmatrix} 1 \\ 0 \end{bmatrix} + c \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} a+c \\ c \end{bmatrix} \quad \text{and}$$

$$T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) = b \begin{bmatrix} 1 \\ 0 \end{bmatrix} + d \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} b+d \\ d \end{bmatrix}.$$

$$\begin{aligned} \begin{bmatrix} 0 \\ 1 \end{bmatrix} &= \begin{bmatrix} 1 \\ 1 \end{bmatrix} - \begin{bmatrix} 1 \\ 0 \end{bmatrix} \Rightarrow T\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) - T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) \\ &= \begin{bmatrix} b+d-a-c \\ d-c \end{bmatrix}. \end{aligned}$$

The two columns of the matrix of  $T$  with respect to the standard basis are

$$T\left(\begin{bmatrix} 1 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} a+c \\ c \end{bmatrix}, \quad T\left(\begin{bmatrix} 0 \\ 1 \end{bmatrix}\right) = \begin{bmatrix} b+d-a-c \\ d-c \end{bmatrix};$$

that matrix is thus

$$\begin{bmatrix} a+c & b+d-a-c \\ c & d-c \end{bmatrix}.$$

- Let  $S = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  be the matrix whose two columns are the <sup>two</sup> vectors in  $\mathcal{B}$ , i.e. the change of basis matrix from  $\mathcal{B}$  to the standard basis  $\mathcal{A}$ . Then the  $\mathcal{B}$ -matrix  $B$  of  $T$  and the  $\mathcal{A}$ -matrix  $A$  of  $T$  are related by  $A = SBS^{-1}$ . You might also apply this formula to find  $A$ .

**Exercise 7** (12 points). Suppose that  $v_1, v_2, v_3, v_4$  are linearly independent vectors in a linear space  $V$ . Show that the vectors

$$v_1, \quad v_2 + v_1, \quad v_3 + v_2 + v_1, \quad v_4 + v_3 + v_2 + v_1$$

are also linearly independent in  $V$ .

**Solution:**

Set up the equation

$$c_1 v_1 + c_2 (v_2 + v_1) + c_3 (v_3 + v_2 + v_1) + c_4 (v_4 + v_3 + v_2 + v_1) = 0$$

$$\Rightarrow (c_1 + c_2 + c_3 + c_4) v_1 + (c_2 + c_3 + c_4) v_2 + (c_3 + c_4) v_3 + c_4 v_4 = 0$$

where  $c_1, c_2, c_3, c_4$  are unknown scalars.

Since  $v_1, v_2, v_3, v_4$  are linearly independent, it follows

that

$$c_1 + c_2 + c_3 + c_4 = 0$$

$$c_2 + c_3 + c_4 = 0$$

$$c_3 + c_4 = 0$$

$$c_4 = 0.$$

Solving this system from the last equation all the way to the first, we obtain successively

$$c_4 = 0, \quad c_3 = 0, \quad c_2 = 0, \quad c_1 = 0.$$

Therefore,  $v_1, v_2 + v_1, v_3 + v_2 + v_1, v_4 + v_3 + v_2 + v_1$  are linearly independent.



**Exercise 8** (16 points). Consider the set  $W \subseteq P_3(\mathbb{R})$  of polynomials  $f(X)$  of degree  $\leq 3$  having the property that  $f(-2) = 0$ .

- (1) (4 points) Give an example of a degree 3 polynomial in  $W$ . Give an example of a degree 3 polynomial which is not in  $W$ .
- (2) (6 points) Show that  $W$  is a subspace of  $P_3(\mathbb{R})$ .
- (3) (6 points) Find a basis of  $W$ .

**Solution:**

- (1)  $f(x) = x^3 + 8$  is in  $W$  and of degree 3;  
 $g(x) = x^3$  is a degree 3 polynomial not in  $W$ .

- (2) For ~~any~~ <sup>any</sup>  $f(x), g(x)$  in  $W$  and any scalar  $k$ ,  
 $f(x) + g(x)$  has degree  $\leq 3$  and  
 $f(-2) + g(-2) = 0 \Rightarrow f(x) + g(x)$  is in  $W$ ;

$kf(x)$  has degree  $\leq 3$  and  
 $kf(-2) = 0 \Rightarrow kf(x)$  is in  $W$ .

Finally, the zero polynomial is in  $W$  (don't forget this!).

Therefore,  $W$  is a subspace of  $P_3(\mathbb{R})$ .

- (3) Suppose  $f(x) = a + bx + cx^2 + dx^3$  is in  $W$ . Then  
 $f(-2) = 0 \Rightarrow a - 2b + 4c - 8d = 0 \Rightarrow a = 2b - 4c + 8d$ .

Thus a typical element in  $W$  can be written as

$$2b - 4c + 8d + bx + cx^2 + dx^3 \\ = b(2 + x) + c(-4 + x^2) + d(8 + x^3)$$

where  $b, c, d$  are arbitrary.

Obviously,  $2 + x, -4 + x^2, 8 + x^3$  are linearly independent.

so a basis of  $W$  is

$$2 + x, -4 + x^2, 8 + x^3.$$